



CGIAR FUND COUNCIL MEETING

**July 6-8, 2011
Room C 8-150, World Bank "C" Building
1225 Connecticut Avenue, Washington DC**

ANNOTATED PROVISIONAL AGENDA



Wednesday, July 6, 2011

ITEM 1. OPENING SESSION

FOR INFORMATION

13:00 – 13:30

The meeting will be opened by the CGIAR Fund Council Chair Inger Andersen. A Co-Chair will be nominated and elected for the meeting.

The Fund Council will take note that the current meeting agenda does not include presentations of reports from the Fund Office, the Trustee and the Consortium. Instead, written reports from these offices are provided as part of the documentation for the Council's information.

Action Requested: The Fund Council is **invited to adopt** the agenda for the meeting.

ITEM 2. 2010 FINANCIAL RESULTS and 2011 FINANCIAL STATUS UPDATE

FOR INFORMATION

13:30 – 14:15

The Consortium will present the 2010 financial results as well as an update of the 2011 financial status. The Financing Plan will be based on the CRP activities to be conducted in 2011 using reasonably anticipated funding [(a) Fund Council-allocated Component Windows 1 and 2 and (b) Window 3 and Bilateral Funding] to be received in 2011.

Action Requested: The Fund Council is **invited to take note** of this information in the context of availability of funds in Windows 1 and 2 in 2011 and following years.

ITEM 3. CASH FLOW PROJECTION

FOR INFORMATION

14:45 – 15:30

Context

To date the Fund Council has approved five CRP Proposals (GRiSP, CCAFS, Forest, Maize and Gene bank). In addition, the Fund Council has approved with conditions CRP1.1 (Dry Areas). In order for the Fund Council to assess the funding requests of CRP proposals for decision at the July Meeting, a cash flow projection model has been developed by the Trustee. The model, which has been based on projected donor funding and disbursement needs, was developed with inputs from the Fund Office and the Consortium Office. Donor projections are based on indications provided to the Fund Office and historical performance, and CRP expenditure budget and disbursement patterns are based on latest information provided by the Consortium Office.

The cash flow projection will show availability of funds in Windows 1 and 2 in 2011 and following years. The cash flow projection is dependent on several variables including start dates of CRPs, budget scenarios of CRP proposals, disbursement rates, donor contributions in future years etc.

Action Requested

The Fund Council is **invited to take note** of the cash flow projection and consider its implications in the decision-making process for the Fund Council-allocated Component (Windows 1 and 2) for each CRP proposal.

ITEM 4. 'BRAINSTORMING' SESSION (Efficiency gains, harmonized funding and improving predictability of multi-year funding)

15:45 – 17:15

FOR DISCUSSION

Context

Many donor countries are currently under economic and financial pressure and there is a greater push to demonstrate 'value for money' and development results. There is now a much higher demand for accountability and a need to show how taxpayers' money is being used – to governments, parliaments and the public at large.

At the April 2011 Fund Council Meeting, one of the conclusions was that 'the driving force of the CGIAR Reform is simplification and efficiency of the System, and that it is incumbent upon all to work towards a decrease in overall costs. It is essential for the Centers to recognize and pursue this objective as well'.

Several Fund Council Members while highlighting the importance of efficiency gains in the reformed CGIAR have expressed a desire to discuss this subject. A brief conceptual note is being prepared by the Fund Office as a background document to facilitate Fund Council discussion on the subject.

Other issues that may come up for discussion during the 'brainstorming session' are donor behavior regarding harmonized funding and ways to improve predictability of multi-year funding.

Action Requested

The Fund Council is invited to **deliberate and discuss** strategic issues including how to achieve and demonstrate efficiency gains and productivity in the whole-of-CGIAR including CRPs and Centers and how to improve predictability of multi-year funding.

ITEM 5. CRP3.1 PROPOSAL: WHEAT – GLOBAL ALLIANCE FOR IMPROVING FOOD SECURITY AND THE LIVELIHOODS OF THE RESOURCE-POOR IN THE DEVELOPING WORLD

17:15 – 18:30

FOR DECISION

Context

The Consortium/Lead Center made a presentation on WHEAT (CRP3.1) proposal at the last Fund Council Meeting. However, ISPC commentary was not available and the Council Members did not have substantial discussion.

Process

At the July Fund Council Meeting, there will be a brief introduction by the Lead Center, which will be followed by ISPC commentary. ISPC comments on the WHEAT proposal have been previously circulated to the Fund Council Members. Written comments shared by some Fund Council members have also been posted. The Council will deliberate on the Proposal.

Fund Council Members will, however, make a decision on the WHEAT CRP Proposal during the decision session on Friday, July 8 (Agenda Item 14). This will enable the Fund Council Members to discuss all the CRP proposals together prior to making a decision.

Annex-2 shows a comparison of all the seven CRP proposals submitted for the Fund Council Meeting in July.

Objectives of WHEAT Proposal (CRP3.1)

The objective of the WHEAT CRP Proposal is to significantly boost farm-level wheat productivity, while renewing and fortifying the crop's resistance to globally important diseases and pests, enhance its adaptation to warmer climates, and reduce its water, fertilizer, labor and fuel requirements. The Proposal aims to enable, support, and greatly strengthen the efforts of national governments, the private sector, international, regional and local organizations, and farming communities.

Financing Plan

	in \$ m			
	Total	Year 1	Year 2	Year 3
Windows 1&2	40.9	13.0	13.6	14.3
Window 3 & Bilateral	104.9	37.0	38.4	29.5
Sub-total	145.8	50.0	52.0	43.8
Expanded component	113.6			
Total	259.4	50.0	52.0	43.8

Observations on the budget

1. An Expanded Funding Component¹ is proposed. To implement these activities an additional \$113.6m may be sourced from Bilateral Funders and Window 3.
2. Windows 1 and 2 account for 28% of the Core Budget².
3. The System Cost (due from CGIAR Fund and Bilateral funds) is requested from the CGIAR Fund only, i.e. not from bilateral funders.
4. The Total Budget includes a component for CRP management costs of \$4.5m, in addition to an institutional overhead of \$10.9m. The CRP management cost is 4% of the Core Budget.
5. There is no contingency cost component in the Total Budget.

Action Requested

The Fund Council is **invited to deliberate and decide** on the overall proposal (programmatic content), Total Budget and each funding component of CRP3.1 proposal.

¹ "Expanded Funding Component" means the activities that would be conducted as part of a CRP, and the costs of each activity, if additional Window 3 or Bilateral Funding financial resources that were unanticipated when the Consortium submitted a proposal for such CRP to the Fund Council later become available during the life of the CRP

² "Core Budget" means, with respect to the activities to be conducted as part of a CRP, the costs of each such activity and the reasonably anticipated funding expected to be received for such activities from (i) The Fund Council-Allocated Component and (ii) the Window 3 and Bilateral Component during the life of such CRP

Thursday, July 7, 2011

ITEM 6. CRP1.3 PROPOSAL: *HARNESSING THE DEVELOPMENT POTENTIAL OF AQUATIC AGRICULTURAL SYSTEMS FOR THE POOR AND VULNERABLE*

08:00 – 09:15

FOR DECISION

Context

The Consortium/Lead Center made a presentation on CRP1.3 proposal at the April 2011 Fund Council Meeting. However, ISPC commentary was not available and the Council Members did not have substantial discussion.

Process

At the July Fund Council Meeting, there will be a brief introduction by the Lead Center, which will be followed by ISPC commentary. ISPC comments on the CRP1.3 proposal have been previously circulated to the Fund Council Members. Written comments shared by some Fund Council members have also been posted. The Council will deliberate on the Proposal.

Fund Council Members will, however, make a decision on the CRP1.3 Proposal during the decision session on Friday, July 8 (Agenda Item 14). This will enable the Fund Council Members to discuss all the CRP proposals together prior to making a decision.

Objective of CRP1.3 Proposal

The overall objective of CRP 1.3 is to improve the well-being of aquatic agricultural systems-dependent people through research by the CGIAR and its partners. Its overarching objectives are to: sustainably increase productivity of AAS; improve markets and services; strengthen resilience and adaptive capacity; reduce gender disparities; improve policy and formal and informal institutional structures and processes; and develop productive relationships, partnerships and networks

Financing Plan

		in \$ m		
	Total	Year 1	Year 2	Year 3
Windows 1&2	32.3	8.9	10.6	12.8
Window 3 & Bilateral	27.2	8.4	9.2	9.6
<i>Total</i>	59.5	17.3	19.8	22.4

Observations on the budget

1. There is no Expanded Funding Component.
2. Windows 1 and 2 account for 54% of the Total Budget.
3. The System Cost has been included in the budget.
4. The Total Budget includes a component for CRP management costs of about \$15m, which is 25% of the Total Budget. This includes central CRP management cost (\$3m) and country/hub coordination cost (\$12m). The CRP management cost is part of the Program Cost, in addition to an institutional overhead of \$6.5m.
5. The Total Budget has a contingency cost component of \$1.5m over 3 years.

Action Requested

The Fund Council is **invited to deliberate and decide** on the overall proposal (programmatic content), Total Budget and each funding component of CRP3.1 proposal.

ITEM 7. CRP5 PROPOSAL: *WATER, LAND AND ECOSYSTEMS*

09:15 – 10:30

FOR DECISION

Context

The Consortium/Lead Center made a presentation on CRP5 proposal at the April 2011 Fund Council Meeting. However, ISPC commentary was not available and the Council Members did not have substantial discussion.

Process

At the July Fund Council Meeting, there will be a brief introduction by the Lead Center, which will be followed by ISPC commentary. ISPC comments on the CRP5 proposal have been previously circulated to the Fund Council Members. Written comments shared by some Fund Council members have also been posted. The Council will deliberate on the Proposal.

Fund Council Members will, however, make a decision on the CRP1.3 Proposal during the decision session on Friday, July 8 (Agenda Item 14). This will enable the Fund Council Members to discuss all the CRP proposals together prior to making a decision.

Objectives of CRP5 Proposal

CRP5 aims to sustainably improve livelihoods, reduce poverty, redress gender inequities and ensure food security through research-based solutions to water scarcity, land degradation and ecosystems sustainability.

Financing Plan

		in \$ m					
	Total	Year 1	Year 2	Year 3	Year 4	Year 5	
Windows 1&2	334.9	42.3	54.6	68.2	81.5	88.3	
W3 & Bilateral	111.7	37.7	30.3	21.5	12.0	10.2	
<i>Sub-total</i>	446.6	<i>80.0</i>	<i>84.9</i>	<i>89.7</i>	<i>93.5</i>	<i>98.5</i>	
Expanded component	90.7	1.0	7.8	15.3	25.6	41.0	
<i>Total</i>	537.3	<i>81.0</i>	<i>92.7</i>	<i>105.0</i>	<i>119.1</i>	<i>139.5</i>	

Observations on the budget

1. An Expanded Funding Component is proposed. To implement these activities an additional \$90.7m to be sourced from Bilateral Funders and Window 3.
2. Windows 1 and 2 account for 75% of the Total Budget.
3. The System Cost (due from CGIAR Fund and Bilateral funds) is requested from the CGIAR Fund only, i.e. not from bilateral funders.
4. The Total Budget includes a component for CRP management costs of \$15.2m, in addition to an institutional overhead of 60.4m. The CRP management cost is about 3.4% of the Core Budget.
5. The Total Budget has contingency component costs of \$5.8m over 5 years.
6. The budget also includes \$7.3m for funding CPWF (Water Challenge Program) in 2011. The request from Windows 1 and 2 may be reduced if the 2011 Funding Stability proposal is approved by the Fund Council.

Action Requested

The Fund Council is **invited to deliberate and decide** on the overall proposal (programmatic content), Total Budget and each funding component of CRP5 proposal.

ITEM 8. CRP3.7 PROPOSAL: *MORE MEAT, MILK AND FISH BY AND FOR THE POOR*

10:45 – 12:00

FOR DECISION

Context

The Consortium/Lead Center made a presentation on CRP3.7 proposal at the April 2011 Fund Council Meeting. However, ISPC commentary was not available and the Council Members did not have substantial discussion.

Process

At the July Fund Council Meeting, there will be a brief introduction by the Lead Center, which will be followed by ISPC commentary. ISPC comments on the CRP3.7 proposal have been previously circulated to the Fund Council Members. Written comments shared by some Fund Council members have also been posted. The Council will deliberate on the Proposal.

Fund Council Members will, however, make a decision on the CRP3.7 Proposal during the decision session on Friday, July 8 (Agenda Item 14). This will enable the Fund Council Members to discuss and compare all the CRP proposals at the same time prior to making a decision.

Objectives of CRP3.7 Proposal

The over-arching goal of CRP3.7 is to increase productivity of small-scale livestock and fish systems so as to increase availability and affordability of meat, milk and fish for poor consumers and, in doing so, to reduce poverty through greater participation by the poor along animal source food value chains. In pursuing this goal, the CRP will: enable participation in and access to pro-poor and gender equitable production and marketing system; secure household and community livestock and fish assets for sustained livelihoods, and conserve livestock, fish and forage/fodder biodiversity; protect the natural resource base; strengthen capacity of public and private sector actors to support and exploit appropriate R&D efforts for sustainable intensification of small-scale livestock and fish production and marketing systems; and facilitate scaling up and out.

Financing Plan

	in \$ m			
	Total	Year 1	Year 2	Year 3
Windows 1&2	35.2	10.3	11.9	13.0
Window 3 & Bilateral	64.4	19.4	21.9	23.1
<i>Sub-total</i>	99.6	29.7	33.8	36.1
Expanded Component	20.1	-	8.2	11.9
<i>Total</i>	119.7	29.7	42.0	47.9

Observations on the budget

1. An Expanded Funding Component is proposed. To implement these activities an additional \$20.1m to be sourced from Bilateral Funders and Window 3.
2. Windows 1 and 2 account for 35% of the Core Budget.
3. The System Cost has been included in the budget.
4. The Total Budget includes a component for CRP management costs of \$5.6m, in addition to an institutional overhead of 16.3m. The CRP management cost is about 5.6% of the Core Budget.
5. The Total Budget has contingency component costs of \$1.1m over 3 years.

Action Requested

The Fund Council is **invited to deliberate and decide** on the overall proposal (programmatic content), Total Budget and each funding component of CRP3.7 proposal.

ITEM 9. REPORT ON THE ESTABLISHMENT OF CGIAR INDEPENDENT EVALUATION ARRANGEMENT

12:00 – 13:00

FOR DECISION

Context

The IEA design team submitted an Inception Report on “Establishment of a CGIAR Independent Evaluation Arrangement” at the Fund Council Meeting in April 2011. Fund Council Members requested an opportunity to go through the Report in-depth and provide comments. In addition, the team was requested to prepare options for the institutional design of IEA, including possible location and financing.

Based on inputs from the Fund Council Members, the design team prepared a draft follow-up note to clarify issues. The draft note was circulated to the Council Members, who were invited to participate in two teleconferences in early June. A summary of the June teleconference has been provided to the Council Members for information.

The IEA design team has prepared a report with 7 recommendations for discussion and decision by the FC. The following matters, including recommendations by the design team, will be discussed at the Fund Council Meeting:

a) Options for the IEA institutional structure (**Recommendation 1**)

- Two IEA structural models are proposed: “Single Independent Evaluation Office (IEAO)” and “Split Evaluation Function between IEAO and Consortium Office”
- Key difference between the two models is with respect to who (i) supports CRP Component evaluation and (ii) provides leadership in evaluation Capacity building
- In the “Single Model” it is IEA and in the “Split Model” it is Consortium Office

b) IEA staffing (**Recommendation 2**)

- What minimum staffing size and composition is adequate for effectively fulfilling IEA roles and responsibilities?
- Recruitment process of the Head of the IEA

c) Location of the IEA (**Recommendation 3**)

- Which is the FC preferred location of IEA?
 - ❖ FAO in Rome
 - ❖ World Bank in Washington DC

d) Preparation and functions of an integrated CGIAR Rolling Evaluation Work Plan (**Recommendation 4**)

e) Financing of the evaluation function (**Recommendation 5**)

- What is an adequate budget for IEA? What would be an effective budgeting process, and how should decentralized evaluation of CRP components be funded?
- Report proposes two new mechanisms
 - ❖ IEA budget as a separate budget line under Window 1 and 2 (up to 2%)
 - ❖ 50% matching grants by IEA to CRP component evaluation

f) Deliverables for the remainder of the consultancy, as well as proposed budget adjustments with no change in total (**Recommendation 6 and 7**)

Action Requested

The Fund Council is **invited to deliberate and decide** on the recommendations 1-7 put forward by the design team.

ITEM 10. CRP4 PROPOSAL: AGRICULTURE FOR IMPROVED NUTRITION AND HEALTH

14:15 – 15:45

FOR DECISION

Process

CRP4 proposal was not presented at the Fund Council Meeting in April. At the July Fund Council Meeting, there will be a presentation by the Lead Center, which will be followed by ISPC commentary. ISPC comments on the CRP5 proposal have been previously circulated to the Fund Council Members. Written comments shared by some Fund Council members have also been posted. The Council will deliberate on the Proposal.

Fund Council Members will, however, make a decision on the CRP4 proposal during the decision session on Friday, July 8 (Agenda Item 14). This will enable the Fund Council Members to discuss and compare all the CRP proposals at the same time prior to making a decision.

Objectives of CRP4 Proposal

The strategic objective of CRP4 is to accelerate progress in improving the nutrition and health of poor people by exploiting and enhancing the synergies between agriculture, nutrition, and health through four key research components: value chains, biofortification, control of agriculture-associated diseases, and integrated agriculture, nutrition, and health development programs and policies.

Financing Plan

	in \$m			
	Total	Year 1	Year 2	Year 3
Windows 1&2	93.6	17.2	32.8	43.6
Window 3 & Bilateral	97.8	41.6	30.6	25.6
Total	191.4	58.8	63.4	69.2

Observations on the budget

1. There is no Expanded Funding Component.
2. Windows 1 and 2 account for 49% of the Total Budget.
3. System Cost has been included in the budget.
4. The Total Budget includes a component for CRP management costs of \$4.1m, in addition to an institutional overhead of \$22.9m. The CRP management cost is 4% of the Core Budget.
5. The Total Budget has a contingency cost component of \$0.37m over 3 years.

Action Requested

The Fund Council is **invited to deliberate and decide** on the overall proposal (programmatic content), Total Budget and each funding component of CRP4 proposal.

ITEM 11. CRP3.4 PROPOSAL: *ROOTS, TUBERS AND BANANAS FOR FOOD SECURITY AND INCOME*

16:00 – 17:30

FOR DECISION

Process

CRP3.4 proposal was not presented at the Fund Council Meeting in April. At the July Fund Council Meeting, there will be a brief introduction by the Lead Center, which will be followed by ISPC commentary. ISPC comments on the CRP3.4 proposal have been previously circulated to

the Fund Council Members. Written comments shared by some Fund Council members have also been posted. The Council will deliberate on the Proposal.

Fund Council Members will, however, make decision on the CRP3.4 proposal during the decision session on Friday, July 8 (Agenda Item 14). This will enable the Fund Council Members to discuss and compare all the CRP proposals at the same time prior to making decision.

Objectives of CRP3.4

The purpose of CRP3.4 is to more fully realize the potential of roots, tubers and bananas (RTB) for improving nutrition, income generation, and food security—especially among some of the world’s poorest and most vulnerable populations.

The program is building on the expertise, complementarities, and comparative advantages of four CGIAR Centers—Bioversity International, CIAT, CIP, and IITA—along with their partners and stakeholders. It will build on the common characteristics of RTB and strong cross-center collaboration to increase efficiencies and capacity. The greater scale and synergies of this new partnership provide an opportunity to enhance scientific advancements, share knowledge, and spur uptake to increase RTB research for development impacts.

Financing Plan

	in \$m			
	Total	Year 1	Year 2	Year 3
Windows 1&2	135.6	38.4	45.8	51.4
Window 3 & Bilateral	47.2	19.8	15.0	12.4
<i>Sub-Total</i>	182.8	58.2	60.8	63.8
Expanded Component	24.3	2.6	10.5	11.4
Total	207.3	60.8	71.3	75.2

Observations on the budget

1. An Expanded Funding Component is proposed. To implement these activities an additional \$24.3 m to be sourced from Bilateral Funders and Window 3.
2. Windows 1 and 2 account for 74% of the Core Budget.
3. The System Cost has been included in the budget.
4. The Total Budget includes a component for CRP management costs of \$8.7m, in addition to an institutional overhead of 28.4m. The CRP management cost is about 4.8% of the Core Budget, which is shown to be paid by the CGIAR Fund only, i.e. not by bilateral funders.
5. The Total Budget has contingency component costs of \$1.1m over 3 years.

Action Requested

The Fund Council is **invited to deliberate and decide** on the overall proposal (programmatic content), Total Budget and each funding component of CRP3.4 proposal.

ITEM 12. CRP2 PROPOSAL: POLICIES, INSTITUTIONS, MARKETS TO STRENGTHEN FOOD SECURITY AND INCOMES FOR THE POOR

17:30 – 18:30

FOR DECISION

Context

CRP2 proposal was presented and discussed by the Fund Council Members at its April 2011 Meeting. The Fund Council decision was “request re-submission of the CRP2 proposal”, a Category III decision. The proponents of the CRP had to revise the proposal by addressing the substantial ‘Must Haves’ (see **Annex 1**).

Process

The Lead Center will provide a brief presentation on how the “Must Haves’ have been addressed in the revised proposal, followed by ISPC commentary. Written comments on the revised proposal shared by some Fund Council members have also been posted.

Please note that the Fund Council Members will make decision on the Proposal under the next agenda item (Agenda Item 14).

Objectives of CRP2 proposal

The overall objective of CRP2 proposal is to strengthen policies, institutions and markets that can be used most effectively to reduce poverty, improve food security and increase small producers’ incomes. The three main impact pathways of the CRP2 proposal are:

- Informing and enriching research and bolstering research capacity;
- Influencing policy development and implementation, and
- Providing policy recommendations

Financing Plan

		in \$ m		
	Total	Year 1	Year 2	Year 3
Windows 1&2	139.9	29.9	47.5	62.5
Window 3 & Bilateral	125.7	52.4	40.5	32.8
<i>Total</i>	265.6	82.3	88.0	95.3

Observations on the budget

1. There is no Expanded Funding Component.
2. Windows 1 and 2 account for 53% of the Total Budget.
3. The System Cost has been included in the budget.
4. The Total Budget includes a component for CRP management costs of \$5.3m, in addition to an institutional overhead of \$38.8m. The CRP management cost is 2% of the Total Budget, which is shown to be paid the CGIAR Fund.
5. The Total Budget has a contingency cost component of \$1.6m over 3 years.

Action Requested

The Fund Council is **invited to deliberate and decide** on the overall proposal (programmatic content), Total Budget and each funding component of CRP2 proposal.

Friday, July 8, 2011

**ITEM 13. CGIAR GUIDING PRINCIPLES ON THE MANAGEMENT OF INTELLECTUAL ASSETS
(DRAFT)**

08:00 – 09:00

FOR DISCUSSION

The Fund Office circulated in March 2011 the following Consortium-drafted documents for feedback from Fund Council Members and their respective constituencies: 1) *Consortium Guiding Principles on the Management of Intellectual Assets* (which applies to the Consortium and its Member Centers), and 2) *The Intersection of Public Goods, Intellectual Property Rights and Partnerships: Maximizing Impact for the Poor* (a briefing paper). The Consortium also shared these with the Centers and other stakeholders for comments.

Taking into consideration the comments received, a document entitled *Consortium Policy on the Management of Intellectual Assets* was synthesized and adopted by the Consortium Board at its meeting on May 6, 2011. In the said meeting, the Consortium Board Members, representatives of the Centers and Fund Council felt that the document was too detailed to be included in the Common Operational Framework (COF) and that it would be more useful as an operational level tool within the Consortium. The document was therefore adopted as the internal policy of the Consortium (applying to the Consortium and the Centers' SRF-related activities including the CRPs) and not as the system-wide CGIAR IA Principles to be included in the COF.

The Fund Office has agreed with the Consortium Office that the document to be included in the COF, i.e. the *CGIAR Guiding Principles on the Management of Intellectual Assets*, should be a shorter, higher-order document with a broader scope. It should contain only the Principles on which the Donors have reached consensus and that can be applied as common processes across the entire CGIAR system. It should apply to any entity using CGIAR Funds to carry out activities under the SRF, not just to the Consortium and the Centers. As part of the COF, the Principles are included by reference in the terms of the Joint Agreement and Consortium Performance Agreement and passed down to Lead Centers through their incorporation in the Program Implementation Agreement and, in turn, to Partners through the sub-agreements.

A draft of the *CGIAR Guiding Principles on the Management of Intellectual Assets* has been submitted for discussion at the Fund Council Meeting in July.

Action Requested

The Fund Council is **invited to discuss and provide guidance** on the draft of the *CGIAR Guiding Principles on the Management of Intellectual Assets* as well as on the way forward to reach consensus among donors.

ITEM 14. DECISION SESSION FOR CRP PROPOSALS

09:00 – 14:00

Structure of the Decision Session

The seven CRP proposals that have been submitted will be decided by the Fund Council in the order these are in the agenda:

- CRP3.1 Proposal: WHEAT
- CRP1.3 Proposal: Aquatic Agricultural Systems
- CRP 5 Proposal: Water and Land
- CRP3.7 Proposal: More Meat, Milk and Fish
- CRP4 Proposal: Improved Nutrition
- CRP3.4 Proposal: Roots, Tubers and Bananas
- CRP2 Proposal: Policies, Institutions

The following decision framework, approved by the Fund Council at its Meeting in April 2011, is expected to guide the Fund Council deliberations on the above CRP proposals:

CATEGORY I: Approval

- Light adjustments which the Fund Council expects proponents to take into consideration

CATEGORY II: Approval with conditions

- ‘Must haves’
- ISPC review of revised CRP proposal with ‘Must haves’ addressed
- Virtual ‘no-objection’ approval of the proposal by the Fund Council
- If there is objection, decision will be taken at a face to face Fund Council Meeting

CATEGORY III: Request re-submission of the CRP proposal

- Substantial ‘Must haves’
- ISPC review of revised CRP proposal with ‘Must haves’ addressed
- Decision taken at a face to face Fund Council Meeting

CATEGORY IV: Rejection

Annex-3 shows a pie chart of CRP and CRP proposals as share of core budget, while **Annex-4** shows a pie chart of the same as share of Fund Council-Allocated Component (Windows 1 and 2). **Annex-5** shows the summary of the CRP and CRP proposal budgets. These Annexes will assist the Fund Council in its deliberations and decision-making process.

Action Requested

For each of the CRP proposal submitted, the Fund Council is **invited to deliberate and make decisions** on the following:

- Overall proposal (programmatic content)
 - Category of decision on CRP proposal i.e. Category I, II, III or IV
 - Total Budget of the CRP proposal
 - Each funding component of the CRP proposal
-

ITEM 15. Implications of the Decisions

14:00 – 14:20

Following the decision session, Fund Council members will be informed about the financial implications of the decisions taken on item 14.

ITEM 16. Any Other Business

14:20 – 14:30

Additional business, if submitted, will be taken-up under this agenda item.

CRP2 PROPOSAL: POLICIES, INSTITUTIONS, MARKETS TO STRENGTHEN FOOD SECURITY AND INCOMES FOR THE POOR 'Must haves'

From ISPC:

1. Identify and elaborate key problems and opportunities for research on specific agricultural and rural development policies, investments, institutions and markets that offer greatest scope for measurable progress towards CGIAR goals;
2. Further develop a strategic framework that encompasses, *inter alia*,
 - clearer articulation of priorities and rationale for them,
 - an assessment of alternative research providers and CGIAR comparative advantage, and
 - a clear focus on production of well-defined and high priority International Public Goods;
3. Apply the strategic framework to develop a more focused and coherent research program around major constraints and opportunities that references earlier IFPRI work;
4. Elaborate more specifically the key outcomes and impacts being targeted by sub-themes at different scales (global, regional, national) or activities, and their impact pathway narratives;
5. Elaborate further the specific roles and synergies of IFPRI and other CGIAR and non-CGIAR partners working together, and independence of the Scientific Advisory Panel;

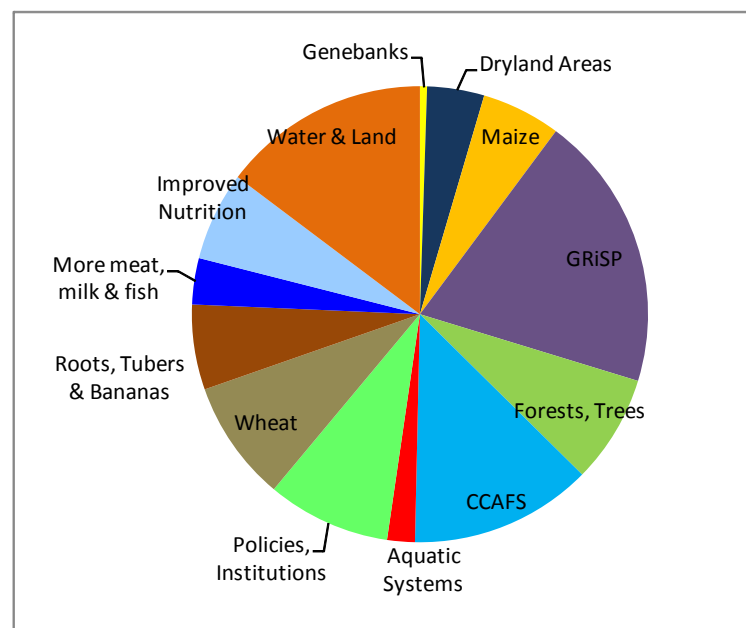
From FC Members:

6. Identify the value added by the proposed work in CRP2;
7. Focus on core competencies and comparative advantage of CRP2;
8. Move from generic outputs to measurable ones with indicators that can be linked to specific timeframes;
9. Provide convincing story on how research outputs will be converted into development outcomes;
10. Articulate how the consortium will partner with and complement the social science work in the commodity and resource-based CGIAR centers;
11. Form close and integral partnership with the platforms and networks brought together through GFAR and regional bodies;
12. Clarify boundaries of foresight work relative to the one being undertaken by ISPC
13. CRP2 should identify data gaps and how to increase efficiency in collecting data in different countries;
14. Consider research areas that seek to better understand the urban-rural poor interface.

CRP Proposals for Decision - Observations on Proposed Budgets							
CRP #	CRP Name	Expanded Component	W1 &W2 % of Core Budget	Systems Cost	CRP Management Cost as % of Core Budget	Contingencies	no of budget yrs provided
3.1	Wheat	\$113.6m	28%	\$2.28m calculated on W1 & W2 component only	4% (\$4.5m)	0	3 yrs
1.3	Aquatic Agricultural Systems	0	54%	included in budget (not shown separately)	25% (\$15m)	\$1.5m	3 yrs
5	Water, Land & Ecosystems	\$90.7m	75%	\$8.8m calculated on W1 & W2 component	3.4% (\$15m)	\$5.8m	5 yrs
3.7	More meat, milk & fish	\$20.1m	35%	included in budget (not shown separately)	6% (5.6m)	\$1.1m	3 yrs
4	Improved Nutrition	0	49%	included in budget (not shown separately)	4% (\$4.1m)	\$0.37m	3 yrs
3.4	Roots, Tubers and Bananas	\$24.3m	74%	included in budget (not shown separately)	4.8% (\$8.7m)	0	3 yrs
2	Policies, Institutions & Markets	0	53%	included in budget (not shown separately)	2% (\$5.3m) proposed to be paid by W1 &2 component only	\$1.6m	3 yrs

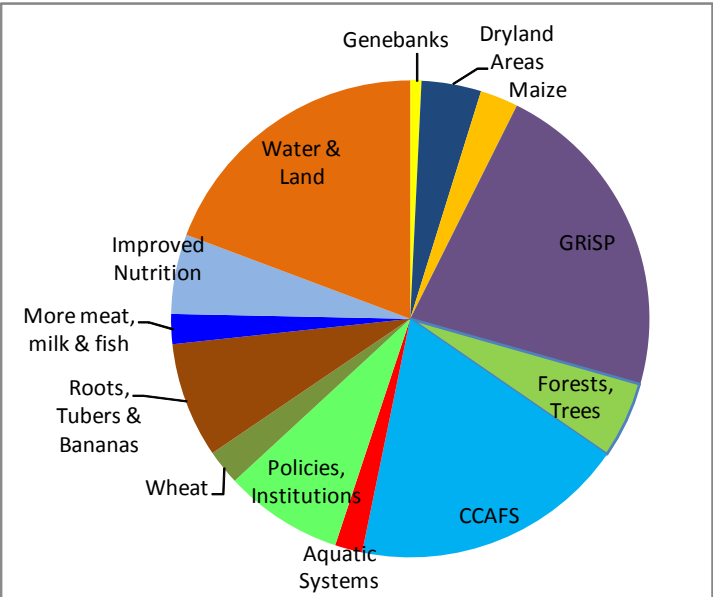
CRP Shares of Total Core budget

	\$m
Genebanks	15.2
1.1 Dryland Areas	122.7
3.2 Maize	170.1
3.3 GRiSP	593.4
6 Forests, Trees	232.9
7 CCAFS	392.6
1.3 Aquatic Systems	59.5
2 Policies, Institutions	265.6
3.1 Wheat	260.4
3.4 Roots, Tubers & Bananas	182.8
3.7 More meat, milk & fish	99.6
4 Improved Nutrition	191.4
6 Water & Land	446.6
Total	3,032.7



CRPs Share of W1&W2 Funds

	\$m
Genebanks	13.1
1.1 Dryland Areas	70.3
3.2 Maize	44.7
3.3 GRiSP	382.7
6 Forests, Trees	90.2
7 CCAFS	323.9
1.3 Aquatic Systems	32.3
2 Policies, Institutions	139.9
3.1 Wheat	40.9
3.4 Roots, Tubers & Bananas	135.6
3.7 More meat, milk & fish	35.2
4 Improved Nutrition	93.6
6 Water & Land	334.9
Total	1,737.2



Annex - 5

CGIAR Summary of CRP budgets

	Core Budget	Total		Year 1			Year 2			Year 3			Year 4			Year 5		
		W1&W2	W3 & Bilateral	W1&W2	W3 & Bilateral	Total	W1&W2	W3 & Bilateral	Total	W1&W2	W3 & Bilateral	Total	W1&W2	W3 & Bilateral	Total	W1&W2	W3 & Bilateral	Total
With FC Decisions																		
Genebanks	15.2	13.1	2.2	13.1	2.1	15.2			0			0			0			0
1.1 Dryland Areas	122.7	70.3	52.4	19	18.4	37.4	23.5	17.3	40.8	27.8	16.7	44.5			0			0
3.2 Maize	170.1	44.7	125.4	14.2	39.8	54	14.9	41.8	56.7	15.6	43.9	59.5			0			0
3.3 GRIISP	593.4	382.7	210.7	34.9	64.0	98.9	76.0	32.7	108.7	83.0	35.2	118.2	90.5	38.0	128.5	98.3	40.9	139.2
6 Forests, Trees	232.9	90.2	142.7 *	25.3	42.5	67.8	30.5	47.9	78.4	34.4	52.3	86.7			0			0
7 CCAFS	392.6	323.9	68.7	41.4	21.8	63.2	56.1	15.0	71.1	70	11.9	81.9	75.2	10.8	86	81.2	9.1	90.3
sub-total	1,527.0	924.9	602.1	147.9	188.6	336.5	201.0	154.7	355.7	230.8	160.0	390.8	165.7	48.8	214.5	179.5	50.0	229.5
For FC5 Decision																		
3.1 Wheat	145.8	40.9	104.9	13	37	50.0	13.6	38.4	52	14.3	29.5	43.8			0			0
1.3 Aquatic Agricultural Systems	59.5	32.3	27.2	8.9	8.4	17.3	10.6	9.2	19.8	12.8	9.6	22.4			0			0
5 Water & Land	446.6	334.9	111.7	42.3	37.7	80.0	54.6	30.3	84.9	68.2	21.5	89.7	81.5	12	93.5	88.3	10.2	98.5
3.7 More meat, milk & fish	99.6	35.2	64.4	10.3	19.4	29.7	11.9	21.9	33.8	13.0	23.1	36.1			0			0
4 Improved Nutrition	191.4	93.6	97.8	17.2	41.6	58.8	32.8	30.6	63.4	43.6	25.6	69.2			0			0
3.4 Roots, Tubers & Bananas	182.8	135.6	47.2	38.4	19.8	58.2	45.8	15	60.8	51.4	12.4	63.8			0			0
2 Policies, Institutions	265.6	139.9	125.7	29.9	52.4	82.3	47.5	40.5	88.0	62.5	32.8	95.3			0			0
sub-total	1,391.2	812.4	578.9	160.0	216.3	376.3	216.8	185.9	402.7	265.8	154.5	420.3	81.5	12.0	93.5	88.3	10.2	98.5
Grand Total	2,918.18	1,737.23	1,180.95	307.90	404.90	712.80	417.80	340.60	758.40	496.60	314.50	811.10	247.20	60.80	308.00	267.80	60.20	328.00

* includes a gap of \$62.3m